

Certified Copy Certificate – Customer Identification Documents

To be used for Trusts, Partnerships, Associations, Registered Cooperatives & Government Bodies.

Part A: Customer Details

Type of Customer (please tick ✓).

- Trust Partnership Association Registered Cooperative Government Body

Full Name *(Registered name if applicable)*

Address *(Registered address if applicable)*

Suburb, State Postcode Country

Country Incorporated if Not Australia

ACN/ABN/ARBN/Other Registration /
Identification Number

Part B: Certifier Details

Please complete Part B to enable us to contact you if necessary to confirm any information provided on this form.

Full Name

Occupation

Address

Suburb, State Postcode Country

Category of Certifier

I have examined the originals of all the documents which are set out in Part C of this form. The copy documents attached are true and correct copies of the original documents examined by me.

Privacy Statement: Interactive Brokers is collecting your personal information in order to assist in the identification of the Customer named in Part A.

Date & Signature of Certifier

Part C: Details of Document(s) Certified

Please tick (✓) which document(s) are certified. Where more than one document is listed, only one document is required to be certified. Certify the first page of the document(s) with "This is a true copy of the original document" followed by the Certifier's signature and the date. Initial any subsequent pages. If any document is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

Checklist for Certifier (must be completed in full by the Certifier)

- All parts of this form have been completed. All documents are certified as a true copy of the original document.
- You are a person within a specified class of accepted certifiers defined below in "Category of Certifiers". The certified copies are attached to this form.

Once completed the Certificate and attached documents should be sent

Via mail (not fax or email) addressed to:

Australian Compliance Director, Interactive Brokers LLC
PO Box R229, Royal Exchange,
NSW, 1225, Australia

Or couriered to:

Australian Compliance Director, Interactive Brokers LLC
Level 40 Grosvenor Place
225 George Street Sydney
NSW Australia

Customer Type and Document Certified

Trust

Extract(s) of the trust deed where the names of the trust, trustees and beneficiaries are evident.

Partnership

Partnership agreement or extract where the full name of the partnership is evident.
Certificate of registration of business name.

Full name and residential address of each partner in the partnership if the partnership is not regulated by a professional body.

Association

- Constitution OR rules OR minutes of a meeting of the association OR relevant extract where the full name and incorporation number (if applicable) of the association are evident.

AND

- Certificate of incorporation in Australia; OR equivalent document issued by the relevant foreign registration body.

Registered Cooperative

- Register maintained by the cooperative or minutes of a meeting of the cooperative or relevant extract where the full name and registration number of the cooperative are evident.

AND

- Certificate of registration in Australia; or equivalent document issued by the relevant foreign registration body.

Government Body

Document issued by the relevant government office/department or commission

Other documents – please specify.

Note: Interactive Brokers reserves the right to reject any document listed here.

Part D: List of Approved Certifiers

- | | | |
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| <ul style="list-style-type: none"> • A Justice of The Peace with a registration number • Accountant • Barrister, Solicitor or Patent Attorney • Dentists – registered or licensed under a law providing for that registration or licensing • Judges or masters of a Federal, State or Territory court | <ul style="list-style-type: none"> • Legal practitioners of a Federal, State or Territory court • Manager of a bank or credit union • Medical practitioners, registered or licensed under a law providing for that registration or licensing • Police Officer in charge of Police Stations or of the rank of Sergeant and above | <ul style="list-style-type: none"> • Postal Manager • Principal of a Secondary College, High School or Primary School • Any introducing broker or financial adviser (appointed as agent for IB LLC for the purpose of customer identification) |
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